

The Diophantine equation  $X^3 = u + v$   
over real quadratic fields

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$k$  : real quadratic field,  $\mathcal{O}_k$  : ring of integers,  
 $\mathcal{O}_k^\times$  : group of units

We consider the Diophantine equation

$$\begin{aligned} X^3 &= u + v, & (1) \\ X &\in \mathcal{O}_k - \{0\}, \quad u, v \in \mathcal{O}_k^\times. \end{aligned}$$

## Motivation

$E_1, E_2/\mathcal{O}_k$  : elliptic curves with unit discriminants.

Suppose

$\exists f : E_1 \longrightarrow E_2$  isogeny of deg.  $3/k$ .

Then the  $j$ -invariants  $j(E_1), j(E_2)$  are of the form

$$\begin{aligned} j(E_1) &= J(t_1), & j(E_2) &= J(t_2), \\ t_1, t_2 &\in k, & t_1 t_2 &= 3^6, \end{aligned}$$

(where  $J(X) = (X + 27)(X + 3)^3/X$ ).

Since  $j(E_1), j(E_2) \in \mathcal{O}_k$ , we have  $t_1, t_2 \in \mathcal{O}_k$ .

$c_4(E_1), c_6(E_1)$  : as usual

$\Delta(E_1) \in \mathcal{O}_k^\times$  : discriminant of  $E_1$

$\implies$

$$\begin{aligned} j(E_1) &= \frac{c_4(E_1)^3}{\Delta(E_1)} & (2) \\ &= \frac{(t_1 + 27)(t_1 + 3)^3}{t_1}, \end{aligned}$$

$$\begin{aligned} j(E_1) - 1728 &= \frac{c_6(E_1)^2}{\Delta(E_1)} & (3) \\ &= \frac{(t_1^2 + 18t_1 - 27)^2}{t_1}. \end{aligned}$$

Since  $(\Delta(E_1)) = (1)$  and  $j(E_1) \neq 0, 1728$ , by (2) and (3), the principal ideal  $(t_1)$  is 6-th power. Thus

$$(t_1) = \begin{cases} (1), (3^6) & (3 \text{ is inert in } k), \\ (1), (3^3), (3^6) & (3 \text{ is ramified in } k), \\ (1), \mathfrak{p}^6, \mathfrak{p}'^6, (3^6) & ((3) = \mathfrak{p}\mathfrak{p}', \mathfrak{p} \neq \mathfrak{p}'). \end{cases}$$

$$(t_1) = (1)$$

$$\implies \left( \frac{c_4(E_1)}{t_1 + 3} \right)^3 = \Delta(E_1)(1 + 27w),$$

$$w = \frac{1}{t_1} \in \mathcal{O}_k^\times$$

$$\implies X^3 = u + 27v,$$

$$(t_1) = (3^6)$$

$$\implies \left( \frac{3c_4(E_1)}{t_1 + 3} \right)^3 = \Delta(E_1)(w + 27),$$

$$w = \frac{3^6}{t_1} \in \mathcal{O}_k^\times.$$

$$\implies X^3 = u + 27v$$

$$(t_1) = (3^3)$$

$$\implies \left( \frac{c_4(E_1)}{t_1 + 3} \right)^3 = \Delta(E_1)(1 + w),$$

$$w = \frac{3^3}{t_1} \in \mathcal{O}_k^\times.$$

$$\implies X^3 = u + v$$

**Theorem 1 (to appear in TJM).**

*Let  $k = \mathbb{Q}(\sqrt{3p})$ ,  $p : \text{prime}, \neq 3, \equiv 3 \pmod{4}$ .*

*Then  $X^3 = u + 27v$  has a solution*

*in  $X \in \mathcal{O}_k - \{0\}$ ,  $u, v \in \mathcal{O}_k^\times$*

$\iff$

$k = \mathbb{Q}(\sqrt{33})$ . ■

Thus, we pay attention to (1).

Throughout, let  $k$  be as in Theorem 1

( $\implies N(w) = 1, \forall w \in \mathcal{O}_k^\times$  ( $N := N_{k/\mathbb{Q}}$ )

and the class number  $h_k$  of  $k$  is odd )

We may suppose  $u = 1$   
or  $u = \varepsilon (> 1 : \text{the fundamental unit of } k)$  ;  
i.e. we must solve

$$\begin{aligned} X^3 &= 1 + v, & (4) \\ X &\in \mathcal{O}_k - \{0\}, \quad v \in \mathcal{O}_k^\times \end{aligned}$$

and

$$\begin{aligned} X^3 &= \varepsilon + v, & (5) \\ X &\in \mathcal{O}_k - \{0\}, \quad v \in \mathcal{O}_k^\times. \end{aligned}$$

**Propositon 2.** *Equation (4) has no solutions.*

**Proof.** Since  $(X - 1)(X^2 + X + 1) = v \in \mathcal{O}_k^\times$ ,  
 $X - 1 =: v_1 \in \mathcal{O}_k^\times$ ,  $X^2 + X + 1 =: v_2 \in \mathcal{O}_k^\times$ .  
 $\therefore v_1^2 + 3v_1 + 3 = v_2$ .

Taking norm we have

$$T(v_1)^2 + 4T(v_1) + 4 = 0 \quad (T = \text{Tr}_{k/\mathbb{Q}}).$$

$\therefore v_1 = -1, X = 0 \dots$  impossible. ■

Therefore, we treat equation (5)

**Lemma 3.**  $\varepsilon v$  is a cube

**Proof.** Let  $'$  be the conjugation of  $k/\mathbb{Q}$ . Then

$$\begin{aligned}
 \left(\frac{X}{X'}\right)^3 &= \frac{\varepsilon + v}{\varepsilon' + v'} \\
 &= \frac{\varepsilon v(\varepsilon + v)}{\varepsilon v(\varepsilon' + v')} \\
 &= \varepsilon v \frac{\varepsilon + v}{\varepsilon \varepsilon' v + \varepsilon v v'} \\
 &= \varepsilon v \frac{\varepsilon + v}{v + \varepsilon} \\
 &= \varepsilon v
 \end{aligned}$$

■

$v =$	$\varepsilon v$ is	
$\pm \varepsilon^{6n+1}$	not a cube	×
$\pm \varepsilon^{6n+2}$	a cube, $\neq \pm \square_k$	?
$\pm \varepsilon^{6n+4}$	not a cube	×
$\pm \varepsilon^{6n+5}$	a cube, $\pm \square_k$	?

( $\square_k =$  a square in  $k$ )

**Lemma 4.**  $\varepsilon v \neq -\square_k$ .

**Proof.** Suppose the contrary. Then

$$\begin{aligned} N(X)^3 &= N(\varepsilon + v) \\ &= (\varepsilon + v)(\varepsilon' + v') \\ &= \varepsilon\varepsilon' + (\varepsilon v' + \varepsilon'v) + vv' \\ &= 2 - (w^2 + w'^2) \quad (\text{where } w^2 = -\varepsilon'v) \\ &= 2 - (w + w')^2 + 2 \\ &= 4 - T(w)^2. \end{aligned}$$

$$\therefore T(w)^2 = \{-N(X)\}^3 + 4$$

Since the only (affine)  $\mathbb{Q}$ -rational points of  $y^2 = x^3 + 4$  are  $(0, \pm 2)$ ,  $X$  must be 0  $\dots$  impossible.

■

Remaining:  $v = \varepsilon^{6n+5}, \pm\varepsilon^{6n+2}$

When  $v = \varepsilon^{6n+5}$ , then  $\varepsilon v = \square_k$ . Thus

$$\begin{aligned} N(X)^3 &= N(\varepsilon + v) \\ &= (\varepsilon + v)(\varepsilon' + v') \\ &= \varepsilon\varepsilon' + (\varepsilon v' + \varepsilon'v) + vv' \\ &= 2 + (w^2 + w'^2) && \text{(where } w^2 = \varepsilon'v) \\ &= 2 + (w + w')^2 - 2 \\ &= T(w)^2 \\ &\dots \text{not an elliptic curve!} \end{aligned}$$

But we have  $T(w) =$  a cube.

**Propositon 5.** *Let  $p$  be a prime,  $\neq 3$  (not necessarily  $p \equiv 3 \pmod{4}$ ) and let  $K := \mathbb{Q}(\sqrt{3p})$ .*

*If  $\text{Tr}_{K/\mathbb{Q}}(w) = a^3$  for some  $a \in \mathbb{Z}$  and  $w \in \mathcal{O}_K^\times$ , then  $p = 5$  and  $w = \pm 4 \pm \sqrt{15}$*

**Proof.** Let  $w = (a^3 + b\sqrt{3p})/2$ ,  $b \in \mathbb{Z}$ . Then  $N(w) = (a^6 - 3pb^2)/4 = 1$ .

$$\therefore 3pb^2 = (a^3 - 2)(a^3 + 2).$$

$$(I) \ a : \text{even} \implies (a^3 - 2, a^3 + 2) = 2 \implies$$

$$(a) \ a^3 - 2 = 2\square, \ a^3 + 2 = 6p\square \ (\square = \text{a square in } \mathbb{Z})$$

or

$$(b) \ a^3 - 2 = -2\square, \ a^3 + 2 = -6p\square$$

or

$$(c) \ a^3 - 2 = 6p\square, \ a^3 + 2 = 2\square$$

or

$$(d) \ a^3 - 2 = -6p\square, \ a^3 + 2 = -2\square$$

or

$$(e) \ a^3 - 2 = 6\square, \ a^3 + 2 = 2p\square$$

or

$$(f) \ a^3 - 2 = -6\Box, \ a^3 + 2 = -2p\Box$$

or

$$(g) \ a^3 - 2 = 2p\Box, \ a^3 + 2 = 6\Box$$

or

$$(h) \ a^3 - 2 = -2p\Box, \ a^3 + 2 = -6\Box$$

### **Lemma 6.**

$$(a) \ \{(x, y) \in \mathbb{Z} \times \mathbb{Z} \mid 2y^2 = x^3 - 2\} = \emptyset.$$

$$(b) \ \{(x, y) \in \mathbb{Z} \times \mathbb{Z} \mid 2y^2 = x^3 + 2\} = \{(0, \pm 1)\}.$$

$$(c) \ \{(x, y) \in \mathbb{Z} \times \mathbb{Z} \mid 6y^2 = x^3 - 2\} = \{(2, \pm 1)\}.$$

$$(d) \ \{(x, y) \in \mathbb{Z} \times \mathbb{Z} \mid 6y^2 = x^3 + 2\} = \emptyset.$$

$$\therefore a = \pm 2, \ 2p\Box = \pm 10. \ \therefore u = \pm 4 \pm \sqrt{15}.$$

(II)  $a$  : odd  $\dots$  similar. ■

$v =$	$\varepsilon v$ is	
$\pm\varepsilon^{6n+1}$	not a cube	$\times$
$\pm\varepsilon^{6n+2}$	a cube, $\neq \pm\Box_k$	$?$
$\pm\varepsilon^{6n+4}$	not a cube	$\times$
$\pm\varepsilon^{6n+5}$	a cube, $\pm\Box_k$	$\times$

Thus, if (5) has a solution, then  $\exists n \in \mathbb{Z}$ , s.t.  
 $v = \pm\varepsilon^{6n+2}$ .

$p$	$p \bmod 3$	$v$	$X$	$N(X)$
23	2	$\varepsilon^2$	$\frac{9+\sqrt{69}}{2}$	3
31	1	$-\varepsilon^2$	$\frac{-9-\sqrt{93}}{2}$	-3
431	2	$\varepsilon^2$	$72 + 2\sqrt{1293}$	$12 = 3 \times 2^2$
439	1	$-\varepsilon^2$	$\frac{-5625-155\sqrt{1317}}{2}$	$-75 = -3 \times 5^2$

**Lemma 7.**  $k := \mathbb{Q}(\sqrt{3p})$ ,  $\varepsilon : \text{as above}$ ,

$$w = \varepsilon^{\text{odd}}$$

$$(1) \quad p \equiv 1 \pmod{3}$$

$$\implies T(w) + 2 = p\Box, \quad T(w) - 2 = 3\Box$$

$$(2) \quad p \equiv 2 \pmod{3}$$

$$\implies T(w) + 2 = 3\Box, \quad T(w) - 2 = p\Box$$

**Proof.** Suppose  $w = (a + b\sqrt{3p})/2$ ,  $a, b : \text{odd}$ .

Since  $N(\varepsilon) = (a^2 - 3pb^2)/4 = 1$ , we have

$$3pb^2 = a^2 - 4 = (a + 2)(a - 2).$$

$(a + 2, a - 2) = 1$  implies

$$\{a + 2, a - 2\} = \{\Box, 3p\Box\} \text{ or } \{p\Box, 3\Box\}.$$

Assuming  $\{a + 2, a - 2\} = \{3p\Box, \Box\} = \{3py^2, x^2\}$ ,

we get  $(a + b\sqrt{3p})/2 = \{(x + y\sqrt{3p})/2\}^2 \dots$

contradiction.

$$\therefore \{a + 2, a - 2\} = \{p\Box, 3p\Box\}.$$

$$a + 2 = p\Box, \quad a - 2 = 3\Box \implies p\Box - 4 = 3\Box$$

$$\implies p \equiv 2 \pmod{3}$$

$$a + 2 = 3\Box, \quad a - 2 = -\Box \implies p \equiv 1 \pmod{3}.$$

$w = a + b\sqrt{3p}$ ,  $a, b \in \mathbb{Z} \dots$  similar. ■

**Lemma 8.**  $K = \mathbb{Q}(\sqrt{m})$  : real quadratic field ( $m$  : square-free),  $\varepsilon (> 1)$  : the fundamental unit of  $K$

(a)  $\text{Tr}_{K/\mathbb{Q}}(\varepsilon) : \text{odd} \implies m \equiv 5 \pmod{8}$ .

(b)  $[\exists w \in \mathcal{O}_K^\times \text{ s.t. } \text{Tr}_{K/\mathbb{Q}}(w) : \text{odd}]$   
 $\iff [\text{Tr}_{K/\mathbb{Q}}(\varepsilon) \text{ is odd}]$

(c) Suppose that  $\text{Tr}_{K/\mathbb{Q}}(\varepsilon)$  is odd.

Then  $\text{Tr}_{K/\mathbb{Q}}(\varepsilon^n) : \text{even} \iff 3 \mid n$ .

**Theorem 9.**  $X, v$  : a solution of (5).

(a)  $p \equiv 1 \pmod{3} \implies$

- $\exists n \in \mathbb{Z}$  s.t.  $v = -\varepsilon^{6n+2}$ ,
- Letting  $\varepsilon^{6n+1} = (a+b\sqrt{3p})/2$ ,  $c = N(X)$ ,  
we have  $c^3 = 2 - a = -3\Box$  : odd,  
( $\implies T(\varepsilon^{6n+1})$  : odd  $\implies p \equiv 7 \pmod{8}$ ),  
 $3pb^2 = c^6 - 4c^3 = a^2 - 4$ ,  $c^3 - 4 = -p\Box$ .

(b)  $p \equiv 2 \pmod{3} \implies$

- $\exists n \in \mathbb{Z}$  s.t.  $v = \varepsilon^{6n+2}$ ,
- Letting  $\varepsilon^{6n+1} = (a+b\sqrt{3p})/2$ ,  $c = N(X)$ ,  
we have  $c^3 = a + 2 = 3\Box$ ,  
 $3pb^2 = c^6 - 4c^3 = a^2 - 4$ ,  $c^3 - 4 = p\Box$ ,  
 $p \equiv 7 \pmod{8}$ .

**Proof.** (a) Suppose that  $v = \varepsilon^{6n+2}$ .

Taking norm of  $X^3 = \varepsilon + \varepsilon^{6n+2}$ , we have

$$\begin{aligned} c^3 &= N(X)^3 \\ &= (\varepsilon + \varepsilon^{6n+2})(\varepsilon^{-1} + \varepsilon^{-6n-2}) \end{aligned}$$

$$= 2 + T(\varepsilon^{6n+1}) = 2 + a.$$

$$\therefore a = c^3 - 2.$$

Since  $a^2 - 3pb^2 = 4$ , we have  $3pb^2 = c^6 - 4c^3$ .

From Lemma7, we have  $c^3 - 4 = a - 2 = 3\Box$ .

But  $\{(x, y) \in \mathbb{Z} \times \mathbb{Z} \mid 3y^2 = x^3 - 4\} = \emptyset$ .

Thus  $v = -\varepsilon^{6n+2}$ ,

$$c^3 = 2 - a \stackrel{\text{Lemma7}}{=} -3\Box,$$

$$c^3 - 4 = -2 - a \stackrel{\text{Lemma7}}{=} -p\Box$$

Suppose that  $c$  is even.

Then  $a = 2 - c^3$  : even.

From  $c^3 = -3\Box$ , we have  $c = -3\Box$ .

$$\therefore -p\Box = c^3 - 4 \equiv -4 \pmod{64}.$$

$$\therefore -p\frac{\Box}{4} = \frac{c^3}{4} - 1 \equiv 3 \pmod{4}.$$

$$\therefore p \equiv 1 \pmod{4} \dots \text{impossible.}$$

Thus  $c$  is odd

(b) Similar arguments yields  $v = \varepsilon^{6n+2}$ ,  $a = c^3 - 2$ ,  $c^3 = 3\Box$ ,  $c^3 - 4 = p\Box$ , where  $a, b, c$  as in Theorem.

If  $c$  is odd, then,  $a$  is odd. Hence Lemma 8 implies  $p \equiv 7 \pmod{8}$ .

If  $c$  is even, then, from  $c^3 = 3\Box$ , we have  $c = 3\Box$ .

$$\therefore p\Box = c^3 - 4 \equiv -4 \pmod{64}.$$

$$\therefore p\frac{\Box}{4} = \frac{c^3}{4} - 1 \equiv 7 \pmod{8}.$$

$$\therefore p \equiv 7 \pmod{8}. \blacksquare$$

**Corollary 10.**  $p \equiv 3 \pmod{8} \implies (1)$  *has no solutions.*

Theorem 9 tells us how to solve equation (5).

**Example.**  $p = 23 \pmod{3}$

Consider  $X^3 = \varepsilon + \varepsilon^{6n+2}$ . By Theorem 9, we have

$$\begin{aligned} c^3 &= a + 2 = 3\Box, \\ 69b^2 &= c^6 - 4c^3 = a^2 - 4, \\ c^3 - 4 &= 23\Box. \end{aligned}$$

$$\{(x, y) \in \mathbb{Z} \times \mathbb{Z} \mid 23y^2 = x^3 - 4\} = \{(3, \pm 1)\}.$$

$$\therefore c = 3, a = c^3 - 2 = 25, b^2 = \frac{25^2 - 4}{69} = 3^2.$$

$$\therefore \varepsilon^{6n+1} = (25 + 3\sqrt{69})/2 = \varepsilon.$$

$$\therefore n = 0, X^3 = \varepsilon + \varepsilon^2 = ((9 + \sqrt{69})/2)^3.$$

Hence, the only solution is

$$(X, v) = ((9 + \sqrt{69})/2, \varepsilon^2)$$

$p \equiv 7 \pmod{8}, 7 \leq p \leq 500$

(a) (5) has solutions  $\iff p = 23, 31, 431, 439$ .

(b) For the above  $p$ , the number of solutions is 1.

$p$	$p \pmod{3}$	$v$	$X$
23	2	$\varepsilon^2$	$\frac{9+\sqrt{69}}{2}$
31	1	$-\varepsilon^2$	$\frac{-9-\sqrt{93}}{2}$
431	2	$\varepsilon^2$	$72 + 2\sqrt{1293}$
439	1	$-\varepsilon^2$	$\frac{-5625-155\sqrt{1317}}{2}$

**Theorem 11.**  $p$  : prime number,  $p \equiv 3 \pmod{8}$ ,  $p \neq 3, 11$ ,  $k := \mathbb{Q}(\sqrt{3p})$ .

$\varepsilon (> 1)$  : the fundamental unit of  $k$

$\mathfrak{P}_\infty^{(1)}, \mathfrak{P}_\infty^{(2)}$  : the real primes of  $k(\sqrt[3]{\varepsilon})$

If the following 2 conditions are satisfied, then there are no elliptic curves with everywhere good reduction over  $k$ .

(a)  $3 \nmid h_k$ ,

(b)  $4 \nmid h_{k(\sqrt[3]{\varepsilon})}((3)\mathfrak{P}_\infty^{(1)}\mathfrak{P}_\infty^{(2)})$  or  $4 \nmid h_{k(\sqrt[3]{\varepsilon}, \sqrt{-3})}((3))$

(For a number field  $K$  and a divisor  $\mathfrak{m}$  of  $K$ , let  $h_K(\mathfrak{m})$  be the ray class number of  $K$  modulo  $\mathfrak{m}$ .)

**Corollary 12.** If  $m = 129, 177, 201$  or  $249$ , then there are no elliptic curves with everywhere good reduction over  $\mathbb{Q}(\sqrt{m})$ .