INTERNATIONAL RELATIONS THEORY: SECURITY DIMENSIONS

Prof. T.V. Paul

This seminar will cover a broad array of theoretical and historical literature on conflict, strategy, war, and cooperation. International Relations theory as applied to some key security issues is the main focus here. We will discuss the key explanations for both systemic and regional conflicts and will address the question: how does cooperation evolve among states in an anarchic international system? The course will include structural, societal, and psychological explanations of war. Strategies of war and peace, including deterrence, the impact of nuclear revolution and the dynamics of nuclear proliferation form the other core parts of the course. We will conclude with a discussion of change in international politics, especially at the global/systemic level.

Requirements

Students are expected to write a substantive research paper (25 double-spaced pages) dealing with: (a) an application of one or more of the theories discussed to a significant event in international relations (e.g. crisis, war, or an event that resulted in a peace settlement or cooperation), or (b), explaining one of the above questions, using one's own theory, or (c) explaining a puzzle or a paradox in the realm of international conflict or cooperation. A one-page outline of the paper should be distributed among the participants beginning from the third week, and the seminar will discuss each of the research topics individually. The proposal should discuss the research question, possible alternative hypotheses, your own hypothesis or argument, methodology, theoretical and policy implications, and conclusions. All papers should conform to Chicago style footnotes and bibliography. In addition, each student is expected to make one or more oral presentations on the basis of the required readings for the week. The deadline for paper submission is to be determined.

Evaluation

Essay outline and presentations 10% Class participation & attendance 30% Research Essay 60%

Class 1: Introduction, Overview

Cashman, Greg, What Causes War? New York: Lexington Books, 1993.

Holsti, K.J. Peace and War, Cambridge: Cambridge University Press, 1991:Ch 1.

Paul, T.V. and John A. Hall eds., *International Order and the Future of World Politics*, Cambridge: Cambridge University Press, 1999.

Dessler, David, "Beyond Correlations: Toward a Causal Theory of War," *International Studies Quarterly*, 35(3), Sept. 1991:337-55.

Levy, Jack S., "Theories of General War," World Politics, 37(3), April 1985:344-74.

Class 2 : Is the International System Anarchic? Realism

Buzan, Barry and Lene Hansen, *The Evolution of International Security Studies*, Cambridge University Press, 2009, pp.8-65.

Bull, Hedley *The Anarchical Society*, Columbia University Press, 1977, Intro;Chs 1-3. Waltz, Kenneth, *Theory of International Politics*, New York, Random House, 1979, Chs. 4-6 and 8.

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Mearsheimer, John J. The Tragedy of Great Power Politics, W.W. Norton, 2001, 1-54. Lobell, Steven E. Norrin M. Ripsman, and Jeffrey Taliaferro, eds., Neoclassical Realism, the State and Foreign Policy, Cambridge University Press, 2009:, ch1, pp.41, Ch.10.

Class 3: Liberal Institutionalism and Constructivism

Martin, Lisa and Beth A. Simmons, "Theories and Empirical Studies of International Institutions," International Organization, 52, no.4, September 1998, pp 729-57.

Wendt, Alexander, "Anarchy is What States Make of It," International Organization, 46(2) Spring 1992:391-425.

Katzenstein, Peter ed. The Culture of National Security: Norms and Identity in World Politics. New York: Columbia University Press, 1996:1, 2, 5.

Class 4: Balancing vs. Bandwagoning

Levy, Jack, "What do Great Powers Balance against and When?," in Paul T.V., et al., eds., Balance of Power: Theory and Practice in the 21st Century (Stanford: Stanford University Press, 2005), 29-51.

Walt, Stephen, The Origins of Alliances, Ithaca: Cornell University Press, 1987: Chs. 1-2, 8.

Paul, T.V. "Soft Balancing in the Age of U.S. Primacy," International Security, 30, No. 1 (Summer 2005), 46–71.

Class 5: Limited Wars; The Paradox of Power

- Paul, T.V., Asymmetric Conflicts: War Initiation by Weaker Powers, Cambridge: Cambridge University Press, 1994: Chs. 1-2, and 9.
- Mack, Andrew, "Why Big Nations Lose Small Wars: The Politics of Asymmetric Conflict," World Politics, 27(2), Jan. 1975: 175-200.
- Ivan Arrequin-Toft, "How the Weak Win Wars: A Theory of Asymmetric Conflict," International Security Vol. 26, No. 1 (Summer 2001):93-128.

Chenoweth Erica and Maria J. Stephan, Why Civil Resistance Works, The Strategic Logic of Nonviolent Conflict (New York: Columbia University Press, 2011), Part I and Part III.

Pillar, Paul, Terrorism and US Foreign Policy," Washington D.C. Brookings, 2001:12-40.

Class 6: Security Dilemma; The Spiral Model; Arms Races and War

Jervis, Robert, Perception and Misperception in International Politics, Princeton, Princeton University Press, 1976, Ch. 3.

Shiping Tang, "The Security Dilemma: A Conceptual Analysis," *Security Studies*, 18 (3) 2009: 587 – 623.

Van Evera, Stephen, Causes of War: Power and the Roots of Conflict, Ithaca: Cornell University Press, 1999, chapters 1, 2, 3, 4, and 6.

Lebow, Richard, "Windows of Opportunity: Do States Jump Through Them?," International Security, 9(1), Summer 1984: 147-86.

Class 7: Domestic Sources of International Conflict

Walt, Stephen M., "Revolution and War," World Politics, 44(3), April 1992:321-68. Posen, Barry, The Sources of Military Doctrine, Ithaca, Cornell University Press, 1984, Chs. 1-2, and 7.

- Van Evera, Stephen, "The Cult of the Offensive and the Origins of the First World War," International Security, 9(1), Summer 1984: 58-107.
- Snyder, Jack. Myths of Empire: Domestic Politics and International Ambitions (Ithaca: Cornell University Press, 1992) chs. 1 and 4.
- Zakaria, Fareed, From Wealth to Power: The Unusual Origins of America's World Role," Princeton: Princeton University Press, 1998:chs:1-2; 6.

Class 8: Democracies and War: Why Liberal Democracies Do not Fight Each Other?

Doyle, Michael, "Liberalism and World Politics," APSR, 80(4), December 1986: 1151-69.

- Russett, Bruce, Grasping the Democratic Peace, Princeton: Princeton University Press, 1993: Chs. 1-2.4-6.
- Risse-Kappen, Thomas. Cooperation among Democracies, Princeton: Princeton University Press, 1997: Chapters 1, 2, and 8.
- Sorensen, Georg, A Liberal World Order in Crisis: Choosing Between Imposition and Restraint, (Ithaca: Cornell University Press, 2011), Intro, 1, 2; Conclusion.

Spiro, David E., "The Insignificance of the Liberal Peace," International Security 19 (1994): 50-86.

Class 9: Deterrence & Strategic Interaction

- Schelling, Thomas, The Strategy of Conflict, Cambridge, Harvard University Press, 1980, Chs. 1-2, 4. 8.
- Jervis, Robert, "Deterrence Theory Revisited," *World Politics*, January 1979:289-24. Achen, Christopher and Duncan Snidal, "Rational Deterrence Theory and Comparative Case Studies," World Politics, 41(2), Jan. 1989: 143-69.
- Paul, T.V. ed., Complex Deterrence: Strategy in the Global Age, University of Chicago Press, 2009: Chapters: Paul, Knopf, Stein, Adler, Jervis, Morgan and Paul.

Class 10: Nuclear Weapons

- Jervis, Robert, The Meaning of the Nuclear Revolution, Ithaca, Cornell University Press, 1989; Chs. 1-4: 7.
- Tannenwald, Nina, The Nuclear Taboo, Cambridge University Press, 2007:1-71.
- Paul, T.V., The Tradition of Non-use of Nuclear Weapons, Stanford University Press, 2009, Chs 1-2, conclusions.
- Paul, T.V., "Disarmament Revisited: Is Nuclear Abolition Possible?" Journal of Strategic Studies, 35, No. 1, February 2012, pp. 149-169.

Class 11: Nuclear Proliferation

- Paul, T.V., Power versus Prudence: Why Nations Forgo Nuclear Weapons, McGill-Queens University Press, 2000, Chs. 1-2, and 8-9.
- Hymans, Jacques, *The Psychology of Non-Proliferation*, Cambridge, 2006, Chs. 1-3. Knopf, Jeffrey W., "Recasting the Proliferation Optimism-Pessimism Debate," *Security Studies* 12(1), Autumn 2002:41-96.
- Sagan, Scott and K. Waltz, The Spread of Nuclear Weapons: A Debate, New York: W.W. Norton, 1995.

<u>Class 12:</u> Conditions Mitigating Anarchy and Conflict: Hegemony; Regimes; Institutions; Trading States?

- Russett and John Oneal, *Triangulating Peace: Democracy, Interdependence and International* Organizations, WW Norton 2001, Chs. 1, 4, 5.
- Rosecrance, Richard, *The Rise of the Trading State: Commerce and Conquest in the Modern World*, New York, Basic Books, 1986: Chs. 2-3.
- Krasner, Stephen D, (ed), *International Regimes*, Ithaca, Cornell University Press, 1983, Chs. 1 and 7.
- Kupchan, Charles A. *How Enemies Become Friends: The Sources of Stable Peace* (Princeton: Princeton University Press, 2010), 1-72; 389-414.

Class 13: Conflict and Cooperation in the Developing World

- T.V. Paul ed., *International Relations Theory and Regional Transformation* (Cambridge; Cambridge University Press, 2012,), chs. 1, 2, 8, 11.
- Holsti, K.J. *The State, War and the State of War*, Cambridge and New York: Cambridge University Press, 1996: chapters 1, 2, 4, 6, and 9.
- Miller, Benjamin, States, Nations and Great Powers, Cambridge University Press, 2007: Chs., -1-3.
- Adler, Immanuel and M. Barnett eds., *Security Communities*, Cambridge, Cambridge University Press, 1998: Chs.1, 2, 5, 6, and 7.
- Zacher, Mark W., "The Territorial Integrity Norm," *International Organization*, 55(2), Spring 2001, 15-50.

<u>Class 14 and 15</u> Change in World Politics I

- Paul, T.V. Deborah Larson and William Wohlforth eds. *Status in World Politics*, (Cambridge: Cambridge University Press, 2014), Chapters by Editors, Larson and Shevchenko.
- Holsti, K.J. "The Problem of Change in International Relations Theory," UBC, Institute of International Relations, *Working Paper* No. 26, December 1998.
- Paul, T.V. "International Relations Theory and The Accommodation of Rising Powers," in Paul ed., *Accommodating Rising Powers: Past, Present and Future*, Cambridge University Press, 2016.
- Mueller, John, *Retreat from Doomsday: The Obsolescence of Major War*, New York: Basic Books, 1989, Intro-5; 10-11.

<u>Class 15</u> Change in World Politics II

- Wohlforth, William C. "Revising Theories of International Politics in Response to the End of the Cold War," *World Politics* 50, July 1998:650-80
- Kang, Dvaid C., *China Rising: Peace*, *Power and Order in East Asia*, Columbia University Press, 2008, 1-3.
- Ripsman M. Norrin and T.V. Paul, *Globalization and the National Security State*, Oxford University Press, 2010: Chs.1-2, 7.
- Nye, Joseph, The Future of Power (New York: Public Affairs), 2011, chs.1-4, 7.